

OECD Studies on Water

Applying the OECD Principles on Water Governance to Floods

A CHECKLIST FOR ACTION

Table of contents

| | |
|--|------------|
| Foreword | 3 |
| Acknowledgements | 5 |
| Executive summary | 11 |
| Main findings | 11 |
| Recommendations | 12 |
| Chapter 1. Managing floods today – Setting the scene | 15 |
| Mounting challenges of too much water | 16 |
| The need to improve flood governance | 17 |
| Analytical framework..... | 19 |
| Methodology | 21 |
| Conclusion..... | 25 |
| References | 26 |
| Chapter 2. Effectiveness of flood governance | 29 |
| Principle 1: Roles and responsibilities | 30 |
| Principle 2: Appropriate scales within basin systems | 35 |
| Principle 3: Policy coherence | 41 |
| Principle 4: Capacity | 46 |
| Notes | 50 |
| References | 50 |
| Chapter 3. Efficiency of flood governance | 53 |
| Principle 5: Data and information | 54 |
| Principle 6: Financing | 60 |
| Principle 7: Regulatory frameworks..... | 66 |
| Principle 8: Innovative governance..... | 70 |
| Notes | 74 |
| References | 74 |
| Chapter 4. Trust and engagement in flood governance | 77 |
| Principle 9: Integrity and transparency | 78 |
| Principle 10: Stakeholder engagement | 83 |
| Principle 11: Trade-offs between users, places and generations | 89 |
| Principle 12: Monitoring and evaluation..... | 94 |
| Notes | 98 |
| References | 98 |
| Annex A. OECD Checklist for Assessing Flood Governance Arrangements | 100 |
| Checklist for OECD Principle 1: Roles and Responsibilities | 100 |

| | |
|---|------------|
| Checklist for OECD Principle 2: Appropriate scales | 103 |
| Checklist for OECD Principle 3: Policy coherence | 105 |
| Checklist for OECD Principle 4: Capacity building | 110 |
| Checklist for OECD Principle 5: Data and information..... | 113 |
| Checklist for OECD Principle 6: Financing..... | 116 |
| Checklist for OECD Principle 7: Regulatory frameworks | 120 |
| Checklist for OECD Principle 8: Innovative governance | 122 |
| Checklist for OECD Principle 9: Integrity and transparency | 125 |
| Checklist for OECD Principle 10: Stakeholder engagement..... | 127 |
| Checklist for OECD Principle 11: Trade-offs between users, places and generations | 130 |
| Checklist for OECD Principle 12: Monitoring and evaluation | 131 |
| Notes | 133 |
| Annex B. List of case study promoters..... | 134 |
| Annex C. Glossary of Terms..... | 136 |